

05/03/2006

PTO/SB/0204 (10-01)
 Approved for use through 10/31/2002. CMB 631-0031
 US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/789,882
	Filing Date	February 27, 2004
	First Named Inventor	Farrar, Paul
	Group Art Unit	2818
	Examiner Name	Nguyen, Dao
Sheet 1 of 1	Attorney Docket No: 303.673US3	

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
DN	US-5,171,712	12/15/1992	Wang, Tsing-Chow, et al.	12/20/1991
	US-5,635,423	06/03/1997	Huang, Richard J., et al.	10/11/1994
	US-5,985,762	11/16/1999	Geffken, Robert M., et al.	05/19/1997
	US-6,001,736	12/14/1999	Kondo, E., et al.	03/04/1996
	US-6,037,664	03/14/2000	Zhao, Bin, et al.	03/31/1998
	US-6,054,398	04/25/2000	Pramanick, Shekhar	05/14/1999
	US-6,107,186	08/22/2000	Erb, Darrell M.	01/27/1999
	US-6,117,781	09/12/2000	Lukanc, Todd P., et al.	04/22/1999
	US-6,117,782	09/12/2000	Lukanc, Todd P.	04/22/1999
	US-6,121,149	09/19/2000	Lukanc, Todd P., et al.	04/22/1999
	US-6,121,150	11/19/2000	Avanzino, Steven C., et al.	04/22/1999
	US-6,143,804	11/07/2000	Chiang, Ming-Hsiung, et al.	06/04/1999
	US-6,143,650	11/07/2000	Pramanick, Shekhar, et al.	01/13/1999
	US-6,174,800	01/18/2001	Jang, Syun-Ming	09/08/1998
	US-6,184,121	02/06/2001	Buchwalter, L. P., et al.	07/09/1998
	US-6,184,317	02/27/2001	Kaisaki, D. A., et al.	06/24/1998
	US-6,204,096	03/20/2001	Lai, Yeong-Chih, et al.	03/19/1999
	US-6,211,071	04/03/2001	Lukanc, Todd P., et al.	04/22/1999
	US-6,224,690	05/01/2001	Andricacos, P. C., et al.	03/12/1996
	US-6,228,754	05/08/2001	Iacoponi, John A., et al.	01/05/1999
	US-6,232,230	05/15/2001	Iacoponi, John A.	01/05/1999
	US-6,239,017	05/29/2001	Lou, Chine-Gie, et al.	09/18/1998
	US-6,261,946	07/17/2001	Iacoponi, John A., et al.	01/05/1999
	US-6,262,376	07/17/2001	Hurwitz, Dror, et al.	08/12/1999
	US-6,280,640	08/28/2001	Hurwitz, Dror, et al.	05/03/1999
	US-6,319,834	11/20/2001	Erb, Darrell M., et al.	08/17/2000
	US-6,368,965	04/09/2002	Lopatin, Sergey D.	02/15/2001
	US-6,410,418	06/25/2002	Yang, K.	08/17/2000
	US-6,410,442	06/25/2002	Yang, Kai	08/17/2000
	US-6,515,323	02/04/2003	Jung, Dong-Jin, et al.	10/30/2000
	US-6,518,173	02/11/2003	Chan, Simon S.	08/17/2000
DN	US-6,710,447	03/23/2004	Nogami, Takeshi	05/25/2000

FOREIGN PATENT DOCUMENTS				
Examiner Initial *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS				
Examiner Initial *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ³

EXAMINER

/Dao Nguyen/

DATE CONSIDERED 08/24/2006

Substitute Disclosure Statement Form (PTO-1449)
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 806. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) is Applicant's to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Complete if Known

Application Number	Unknown 10/789,882
Filing Date	Even Date Herewith 02/27/04
First Named Inventor	Farrar, Paul
Group Art Unit	Unknown 2818
Examiner Name	Unknown D. NGUYEN

Sheet 4 of 10

Attorney Docket No: 303.673US3

dlh	US-6,140,234	10/31/2000	Uzoh, Cyprian, et al.	438	678	01/20/1998
	US-6,143,646	11/07/2000	Wetzel, J. T.	438	637	06/03/1997
	US-6,150,261	11/21/2000	Hsu, C., et al.	438	640	05/25/1999
	US-6,153,507	11/28/2000	Mikagi, K.	438	618	01/13/1998
	US-6,159,769	12/12/2000	Farnworth, Warren M., et al.	438	108	01/05/1999
	US-6,171,661	01/09/2001	Zheng, B., et al.	427	535	02/25/1998
	US-6,177,350	01/23/2001	Sundarrajan, A., et al.	438	688	04/14/1998
	US-6,183,564	02/06/2001	Reynolds, G. J., et al.	118	719	11/12/1998
	US-6,187,656	02/01/2001	Lu, et al.	438	592	—
	US-6,190,732	02/20/2001	Omstead, et al.	118	729	—
	US-6,197,688	03/06/2001	Simpson, Cindy R.	438	678	02/12/1998
	US-6,207,222	03/27/2001	Chen, Liang-Yuh, et al.	427	97	08/24/1999
	US-6,207,553	03/27/2001	Buynoski, M., et al.	438	622	01/26/1999
	US-6,207,558	03/27/2001	Singhvi, Shri, et al.	438	648	10/01/1999
	US-6,211,049	04/03/2001	Farrar, Paul A.	438	597	02/24/1999
	US-6,211,073	04/03/2001	Ahn, K. Y.	438	653	02/27/1998
	US-6,221,763	04/24/2001	Gilton, Terry L.	438	643	04/05/1999
	US-6,232,218	05/15/2001	Blalock, et al.	438	637	05/20/1998
	US-6,249,056	06/19/2001	Kwon, Dong-chul, et al.	257	758	11/01/1999
	US-6,265,311	07/24/2001	Hautala, J. J., et al.	438	680	04/27/1999
	US-6,271,692	08/07/2001	Kim, E., et al.	257	751	08/06/1999
	US-6,284,656	09/04/2001	Farrar, Paul A.	438	687	08/04/1998
	US-6,287,954	09/11/2001	Ashley, L., et al.	438	622	12/09/1999
	US-6,323,553	11/01/2001	Hsu, et al.	257	751	11/01/2001
	US-6,326,303	12/04/2001	Robinson, Karl, et al.	438	678	02/11/2000
	US-6,359,328	03/01/2002	Dubin,	257	622	—
	US-6,372,622	04/16/2002	Tan, et al.	438	612	10/26/1999
	US-6,378,370	04/23/2002	Farrar, Paul A.	438	678	—
	US-6,387,542	05/14/2002	Kozlov, Alexander, et al.	428	673	07/06/2000
	US-6,399,489	06/04/2002	M'Saad, H., et al.	438	680	11/01/1999
	US-6,403,481	06/11/2002	Matsuda, T., et al.	438	687	08/10/1999
	US-6,420,262	07/16/2002	Farrar, Paul A.	438	652	01/18/2000
	US-6,426,289	07/30/2002	Farrar, P. A.	—	—	—
dlh	US-6,429,120	08/06/2002	Ahn, Kie Y., et al.	438	635	01/18/2000

18
9/27/01

EXAMINER

Ther

DATE CONSIDERED

08/26/04

Substitute Disclosure Statement Form (PTO-1441)
 * EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP § 903. Draw the through citation if not in conformance and not considered. Include copy of data form with main communication to applicant. Applicant's unique citation designation number (optional) is Applicant to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A/10-01
 Approved for use through 10/31/2002, OMB 851-0001
 US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Complete If Known	
Application Number	Unknown 10/789,882
Filing Date	Even Date Herewith 02/27/04
First Named Inventor	Farrar, Paul
Group Art Unit	Unknown 2818
Examiner Name	Unknown D. NGUYEN

Sheet 1 of 10

Attorney Docket No: 303.673US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
dh	US-01/0002333	05/31/2001	Huang, Chao-Yuan , et al.	438	637	03/29/1999
	US-2002/0014646	02/07/2002	Tsu, , et al.	257	296	
	US-2002/0028552	03/07/2002	Lee, , et al.	438	243	
	US-2002/0096768	07/25/2002	Joshi, Rajiv Vasant	257	750	
	US-2002/0109233	08/15/2002	Farrar, Paul A.	257	762	
	US-2,842,438	07/08/1958	Saarivirta, M. J., et al.	75	153	08/02/1956
	US-3,954,570	06/04/1976	Shirk, Albert , et al.	204	15	11/11/1974
	US-4,386,116	05/31/1983	Nair, Krishna K., et al.	427	99	12/24/1981
	US-4,394,223	07/19/1983	Hall, Dean	204	15	10/06/1981
	US-4,423,547	01/03/1984	Farrar, P. A., et al.	29	571	06/01/1981
	US-4,565,157	01/21/1986	Brors, D. L., et al.	118	719	03/29/1983
	US-4,574,095	03/04/1986	Baum, Thomas H., et al.	427	53.1	11/19/1984
	US-4,762,728	08/09/1988	Keyser, T., et al.	427	38	11/26/1985
	US-4,788,082	11/29/1988	Schmitt, Jerome J.	427	248.1	12/12/1985
	US-4,847,111	07/11/1989	Chow, Yu C., et al.	427	38	06/30/1988
	US-4,931,410	06/05/1990	Tokunaga, Takafumi , et al.	437	189	08/25/1988
	US-4,948,459	08/14/1990	Van Laarhoven, , et al.	156	643	01/04/1989
	US-4,962,058	10/09/1990	Cronin, John E., et al.	437	187	04/14/1989
	US-4,996,584	02/26/1991	Young, P. L., et al.	357	71	10/13/1988
	US-5,019,531	05/28/1991	Awaya, N., et al.	437	180	05/19/1989
	US-5,034,799	07/23/1991	Tomita, K., et al.	357	71	02/14/1990
	US-5,084,412	01/28/1992	Nakasaka, Yasushi	437	189	10/01/1990
	US-5,100,499	03/31/1992	Douglas, M. A.	156	635	06/25/1991
	US-5,130,274	07/14/1992	Harper, J. M., et al.	437	195	04/05/1991
	US-5,158,986	10/27/1992	Cha, S. W., et al.	521	82	04/05/1991
	US-5,173,442	12/22/1992	Carey, D. H.	437	173	03/24/1992
	US-5,231,056	07/27/1993	Sandhu, G. S.	437	200	01/15/1992
	US-5,240,878	08/31/1993	Fitzsimmons, J., et al.	437	187	04/26/1991
dh	US-5,243,222	09/07/1993	Harper, J. M., et al.	257	774	01/08/1992

9/27/97

05/1976

EXAMINER

DATE CONSIDERED

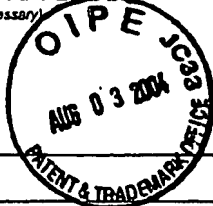
08/26/04

Substitute Disclosure Statement Form (PTO-1449)
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional): Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 10/31/2002. OMB 031-0001
US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number	10/789,882
Filing Date	February 27, 2004
First Named Inventor	Farrar, Paul
Group Art Unit	2818
Examiner Name	Unknown D. NGUYEN

Sheet 1 of 1

Attorney Docket No: 303.673US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
dh	US-4,213,818	07/22/1980	Lemons, Kyle E., et al.	438	719	01/04/1979
	US-5,461,243	10/24/1995	Ek, Bruce A., et al.	257	190	10/29/1993
	US-6,120,641	9/10/19/2000	Stevens, E. Henry, et al.	156	345.22	08/03/1998
	US-6,197,181	03/06/2001	Chen, Linlin	205	123	03/20/1998
	US-6,277,263	08/21/2001	Chen, Linlin	205	182	08/31/1999
	US-6,290,833	09/18/2001	Chen, Linlin	205	182	08/31/1999
	US-6,313,035	11/06/2001	Sandhu, Gurtej S., et al.	438	681	05/31/1996
	US-6,368,966	04/09/2002	Krishnamoorthy, Ahila, et al.	438	687	08/31/1999
	US-6,428,673	08/06/2002	Ritzdorf, Thomas L., et al.	205	84	07/08/2000
	US-6,486,533	11/26/2002	Krishnamoorthy, Ahila, et al.	257	586	11/21/2001
	US-6,508,920	01/21/2003	Ritzdorf, Thomas L., et al.	204	194	08/31/1999
	US-6,565,729	05/20/2003	Chen, Linlin, et al.	205	82	12/07/2000
	US-6,573,182	06/03/2003	Sandhu, Gurtej S., et al.	438	674	09/24/2001
	US-6,632,345	10/14/2003	Chen, L.	205	182	10/23/2000
	US-6,638,410	10/28/2003	Chen, Linlin, et al.	205	182	11/22/2002
	US-6,664,197	12/16/2003	Stevens, E. Henry, et al.	438	754	11/01/2001
dh	US-6,674,169	01/06/2004	Sandhu, Gurtej S., et al.	257	763	09/24/2001

08
7/27/07

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
dh	EP-0304046	02/22/1989	Fujimura, S., et al.	G03F	7/26	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
dh		NAYAK, D.K., "High performance GeSi quantum-well PMOS on SIMOX", International Electron Devices Meeting 1992, Technical Digest, (1992), 777-80	

EXAMINER

DATE CONSIDERED

08/26/04

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is to conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) is Applicant's to place a check mark here if English language Translation is attached